



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

FINNEY

Serial No.: 10/687,988

Filed: OCTOBER 17, 2003

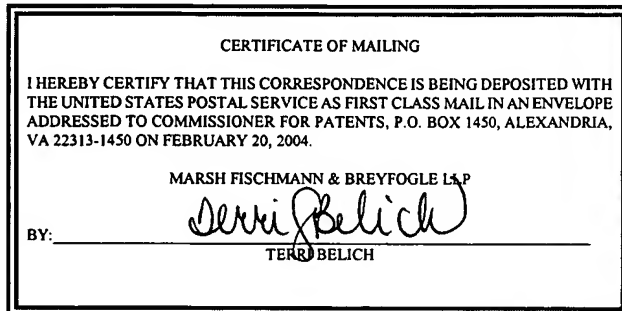
Confirmation No.: 1398

Atty. File No.: 41992-00618

For: "Automated Docking of Space Vehicles"

) Group Art Unit: Not Yet Assigned

) Examiner: Not Yet Assigned



INFORMATION DISCLOSURE STATEMENT

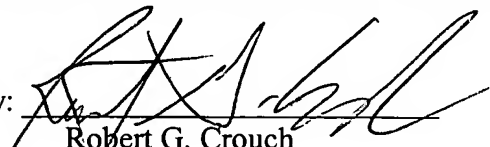
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 
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Date: February 20, 2004

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
41992-00618

In Re Application Of:

FINNEY

FEB 23 2004
PATENT & TRADEMARK OFFICE

Serial No.

NOT ASSIGNED

Filing Date

OCTOBER 17, 2003

Examiner

NOT YET ASSIGNED

Group Art Unit

NOT ASSIGNED

Title: "AUTOMATED DOCKING OF SPACE VEHICLES"

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
41992-00618

In Re Application: **FINNEY** FEB 23 2004

Serial No.
NOT ASSIGNED

Filing Date
OCTOBER 17, 2003

Examiner
NOT YET ASSIGNED

Group Art Unit
NOT ASSIGNED

"AUTOMATED DOCKING OF SPACE VEHICLES"

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1419** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on **2-20-04** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

TERRI BELICH

Typed or Printed Name of Person Mailing Certificate

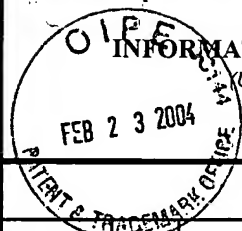
*This certificate may only be used if paying by deposit account.

Signature

Dated:

ROBERT G. CROUCH
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AURORA, COLORADO 80014
720-562-5506

CC:



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

41992-00618

Application Number

NOT YET ASSIGNED

Applicant(s)

FINNEY

Filing Date

OCTOBER 17, 2003

Group Art Unit

NOT YET ASSIGNED

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,923,303	05/08/90	LUTZ	356	375	
	2.	6,504,610	01/07/03	BAUER, ET AL	356	399	
	3.	4,764,668	08/16/88	HAYARD	235	470	
	4.	6,254,035	07/03/01	HOWARD, ET AL	244	161	
	5.	6,227,495	05/08/01	HOWARD, ET AL	244	161	
	6.	6,091,345	07/18/00	HOWARD, ET AL	340	958	
	7.	5,734,736	03/31/98	PALMER, ET AL	382	103	
	8.	6,360,995	03/26/02	NYGREN, JR.	244	161	
	9.	5,334,848	08/02/94	GRIMM	250	548	
	10.	5,005,786	04/09/91	OKAMOTO, ET AL	244	161	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.